

PATENT
Attorney Docket No.: CDL-026C3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Anderson et al. GROUP NO.: 3731
APPLICATION NO.: 10/698,970 EXAMINER: Julian W. Woo
FILING DATE: October 31, 2003
TITLE: Method and Apparatus for Treating Wrinkles in Skin Using Radiation

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98, only copies of the foreign patent documents and non-patent publications are enclosed.

04/25/2007 TNGUYEN2 00000074 503081 10698970

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REMARKS

In accordance with the provisions of 37 C.F.R., this statement is being filed (check one)

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☒ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a final action or notice of allowance but on or before the payment of the issue fee, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

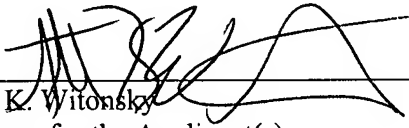
As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: April 12, 2007
Reg. No.: 56,471

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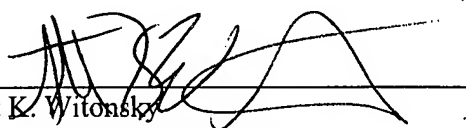
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FORM PTO - 1449

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U.S. PATENT DOCUMENTS

EXAM INIT.		DOCUMENT NO.	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	3,404,350	10/01/1968	Muncheryan			
	A2	3,538,919	11/10/1970	Meyer et al.			
	A3	3,693,623	09/26/1972	Harte et al.			
	A4	3,834,391	09/10/1974	Block			
	A5	3,900,034	08/19/1975	Katz et al.			
	A6	3,916,143	10/28/1975	Farrell			
	A7	4,388,924	06/21/1983	Weissman et al.			
	A8	4,461,294	7/24/1984	Baron			
	A9	4,608,978	09/02/1986	Rohr			
	A10	4,617,926	10/21/1986	Sutton			
	A11	4,733,660	03/29/1988	Itzkan			
	A12	4,819,669	04/11/1989	Politzer			
	A13	4,930,504	06/05/1990	Diamantopoulos et al.			
	A14	5,000,752	03/19/1991	Hoskin et al.			
	A15	5,019,034	05/28/1991	Weaver et al.			
	A16	5,059,192	10/22/1991	Zaias			
	A17	5,139,495	08/18/1992	Daikuzono			
	A18	5,182,857	02/02/1993	Simon			
	A19	5,226,907	07/13/1993	Tankovich			
	A20	5,282,797	02/01/1994	Chess			
EXAMINER				DATE CONSIDERED			

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	A21	5,290,273	03/01/1994	Tan			
	A22	5,304,170	04/19/1994	Green			
	A23	5,320,618	06/14/1994	Gustafsson			
	A24	5,336,217	08/09/1994	Buys et al.			
	A25	5,397,327	03/14/1995	Koop et al.			
	A26	5,405,368	04/11/1995	Eckhouse			
	A27	5,425,728	06/20/1995	Tankovich			
	A28	5,445,634	08/29/1995	Keller			
	A29	5,474,549	12/12/1995	Ortiz et al.			
	A30	5,486,172	01/23/1996	Chess			
	A31	5,522,813	06/04/1996	Trelles			
	A32	5,527,350	06/18/1996	Grove et al.			
	A33	5,569,242	10/29/1996	Lax et al.			
	A34	5,606,798	03/04/1997	Kelman			
	A35	5,647,866	07/15/1997	Zaias et al.			
	A36	5,643,706	08/05/1997	Zavislan et al.			
	A37	5,683,380	11/04/1997	Eckhouse et al.			
	A38	5,707,403	01/13/1998	Grove et al.			
	A39	5,752,948	05/19/1998	Tankovich et al.			
	A40	5,752,949	05/19/1998	Tankovich et al.			
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	A41	5,755,753	05/26/1998	Knowlton			
	A42	5,860,967	01/19/1999	Zavislan et al.			
	A43	5,868,732	02/09/1999	Waldman et al.			
	A44	5,925,035	07/20/1999	Tankovich			
	A45	6,083,217	07/04/2000	Tankovich			
	A46	6,267,771	07/13/2001	Tankovich et al.			
	A47	6,280,438	08/28/2001	Eckhouse et al.			

FOREIGN PATENT DOCUMENTS

EXAM INIT.		DOCUMENT NO.	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B1	CA 1,041,610	10/31/1978	CA					Y
	B2	GB 2 123 287	02/01/1984	GB					Y
	B3	WO 84/02644	07/19/1984	WO					Y
	B4	EP 0 142 671	05/29/1985	EP					Y
	B5	WO 86/02783	05/09/1986	WO					Y
	B6	JP 63-249577	10/17/1988	JP					English Language Abstract Only
	B7	EP 0 292 621	11/30/1988	EP					Y
	B8	WO 89/00027	01/12/1989	WO					Y

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	B9	JP 64-080309	03/27/1989	JP					English Language Abstract Only
	B10	EP 0 348 862	01/03/1990	EP					Y
	B11	JP 03-193003	08/22/1991	JP					English Language Abstract Only
	B12	JP 04-067860	03/03/1992	JP					English Language Abstract Only
	B13	WO 92/16338	10/01/1992	WO					Y
	B14	JP 04-322668	11/12/1992	JP					English Language Abstract Only
	B15	WO 92/19165	11/12/1992	WO					Y
	B16	WO 93/05920	04/01/1993	WO					Y
	B17	JP 5-329218	12/14/1993	JP					English Language Abstract Only
	B18	EP 0 575 274	12/22/1993	EP					English Language Abstract Only
	B19	WO 95/15725	06/15/1995	WO					Y
	B20	DE 195 12 481	10/12/1995	DE					English Language Abstract Only
	B21	CA 2,131,750	01/27/1996	CA					Y
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OTHER ART, JOURNAL ARTICLES, ETC.		
EXAM INIT.		OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)
	C1	Waldman et al., "Cutaneous Inflammation: Effects of Hydroxy Acids and Eicosanoid Inhibitors on Vascular Permeability," Abstracts 523, 88(4): (1987).
	C2	Warren et al., "Pigmentation Induction by Melanocyte Stimulating Hormone in Human Skin Culture," Abstracts 523, 88(4): (1987).
	C3	Watanabe et al., "The Effect of Pulse Duration on Selective Pigmented Cell Injury by Dye Lasers," Abstracts 523, 88(4): (1987).
	C4	Wastek et al., "Characterization of H-Substance P (SP) Binding to a Mouse Monoclonal Mast Cell Line (MC/9)," Abstracts 523, 88(4): (1987).
	C5	Weissman et al., "Growth, Collagen and Glycosaminoglycan Synthesis by Dermal Fibroblasts Derived From Puva Treated and Psoriatic Patients," Abstracts 523, 88(4): (1987).
	C6	Werse et al., "Effects of Essential Fatty Acid Deficiency on the Structure and Function of Epidermal Lipids," Abstracts 523, 88(4): (1987).
	C7	Tranner et al., "Photodynamic Synovectomy with Benzoporphyrin Derivative in a Rabbit Model of Rheumatoid Arthritis," Abstract 204, American Society for Laser Medicine and Surgery Abstracts 44.
	C8	Grossman et al., "PDT for Hirsutism," Abstract 205, American Society for Laser Medicine and Surgery Abstracts 44.
	C9	Lui et al., "Photodynamic Therapy of Malignant Skin Tumors with BPD Verteporfin (Benzoporphyrin Derivative)," Abstract 206, American Society for Laser Medicine and Surgery Abstracts 44.
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	C11	Alster, T., "Laser Hair Removal: Are the Results Permanent?," Laser Focus: 21-23 (1993).
	C12	Anderson et al., "The Optics of Human Skin," The Journal of Investigative Dermatology 77(1): 13-19 (1981).
	C13	Anderson et al., "Lasers in Dermatology Provide a Model for Exploring New Applications in Surgical Oncology," International Advances in Surgical Oncology 5: 341-358 (1982).
	C14	Anderson et al., "Selective Photothermolysis: Precise Microsurgery by Selective Absorption of Pulsed Radiation," Science 220: 524-527 (1983).
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	C15	Anderson, R., "Optics of the Skin," in Clinical Photomedicine, ed: Marcel Dekker Inc., New York, New York, 28-31 (1993).
	C16	Awan, K., "Argon Laser Treatment of Trichiasis," Ophthalmic Surgery 17(10): 658-660 (1986).
	C17	Bartley et al., "An Experimental Study to Compare Methods of Eyelash Ablation," Ophthalmology 94: 1286-1289 (1987).
	C18	Berlien et al., "Lasers in Pediatric Surgery," Progress in Pediatric Surgery 25: 5-22 (1990).
	C19	Campbell, D.C., "Thermoablation Treatment for Trichiasis Using the Argon Laser," Australian and New Zealand Journal of Ophthalmology 18(4): 427-430 (1990).
	C20	Dixon et al., "Argon and Neodymium YAG Laser Therapy of Dark Nodular Port Wine Stains In Older Patients," Lasers in Surgery and Medicine 6: 5-11 (1986).
	C21	Dover et al., "Pigmented Guinea Pig Skin Irradiated With Q-Switched Ruby Laser Pulses," Arch. Dermatol. 125: 43-49 (1989).
	C22	Dover et al., "Illustrated Cutaneous Laser Surgery," in A Practitioner's Guide, ed: Appleton & Lange, Norwalk, Connecticut (1990).
	C23	Dover et al., "Laser Hair Removal," 1-6.
	C24	Finkel et al., "Pulsed Alexandrite Laser Technology for Noninvasive Hair Removal," Journal of Clinical Laser Medicine & Surgery 15 (5): 225-229 (1997).
	C25	Finkelstein et al., "Epilation of Hair-Bearing Urethral Grafts Using the Neodymium: YAG Surgical Laser," The Journal of Urology 146: 840-842 (1991).
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	C27	Goldman et al., "Effect of the Laser Beam on the Skin: III. Exposure of Cytological Preparations," The Journal of Investigative Dermatology: 247-251 (1963).
	C28	Goldman et al., "Pathology of the Effect of the Laser Beam on the Skin," Nature 197(4870): 912-914 (1963).
	C29	Goldman et al., "The Biomedical Aspects of Lasers," JAMA 188(3): 230-234(1964).
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	C31	Goldman et al., "The Effect of Repeated Exposures to Laser Beams," Acta Dermato-Venerologica 44: 264-268 (1964).
	C32	Goldman et al., "Radiation From a Q-Switched Ruby Laser," The Journal of Investigative Dermatology: 69-71 (1964).
	C33	Goldman et al., "Treatment of Basal Cell Epithelioma by Laser Radiation," JAMA 180(10): 773-775 (1964).
	C34	Goldman et al., "Laser Action at the Cellular Level," JAMA 198(6): 641-644 (1966).
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	C37	Goldman et al., "Replica Microscopy and Scanning Electron Microscopy of Laser Impacts on the Skin," The Journal of Investigative Dermatology 32(1): 18-24 (1968).
	C38	Goldman et al., "Investigative Studies with the Laser in the Treatment from Basal Cell Epitheliomas," Southern Medical Journal 61: 735-742 (1968).
	C39	Goldman, L., "The Skin," Arch. Environ. Health 18: 434-436 (1969).
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	C41	Goldman et al., "Long-Term Laser Exposure of a Senile Freckle," Arch. Environ Health 22: 401-403 (1971).
	C42	Goldman et al., "The Laser in Dermatology," Lasers in Medicine, ed: Gordon and Breach, New York, New York: 329-352 (1971).
	C43	Goldman, L., "Effects of New Laser Systems on the Skin," Arch. Dermatol. 108: 385-390 (1973).
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	C45	Goldman, L., "Surgery by Laser for Malignant Melanoma," J. Dermatol. Surg. Oncol. 5(2): 141-144 (1979).
	C46	Goldman, L., "Dermatologic Manifestations of Laser Radiation," Proceedings of the First Annual Conference on Biologic Effects of Laser Radiation, Federation of American Societies for Experimental Biology, Supp. No. 14: S-92-S-93 (Jan-Feb 1965).
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	C47	Goldman, L., "Comparison of the Biomedical Effects of the Exposure to Low and High Energy Lasers," Annals of New York Academy of Sciences, 802-831 (1965).
	C48	Gossman et al., "Experimental Comparison of Laser and Cryosurgical Cilia Destruction," Ophthalmic Surgery 23(3): 179-182 (1992).
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	C51	Haina et al., "Possibilities for the Increase of the Coagulation Depth in Skin with the Argonlaser," in Waidelich W, ed: Springer, Berlin-Heidelberg-New York-Tokyo (1987).
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	C55	Iwasaki et al., "Development of Laser Systems for Treatment of Hyperpigmented Skin Lesions," Japanese Journal of Medical Electronics and Biological Engineering 27: 26-34 (1989).
	C56	Kincade, K., "First Laser Hair-Removal System Gains FDA Clearance," Laser Focus World June: (1995).
	C57	Kincade, K., "New Procedures Push Tissue Studies Beneath the Surface," Laser Focus World August: 57-63 (1995).
	C58	Klein et al., "Biological Effects of Laser Radiation I: Threshold Studies and Reversible Depigmentation in Rodent Skin," Nerem Record: 108-109 (1965).
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	C60	Kuhns et al., "Laser Injury in Skin," Laboratory Investigation 17(1): 1-13 (1967).
	C61	Kuriloff, et al., "Pharyngoesophageal Hair Growth: The Role of Laser Epilation," Case Reports 98(4): 342-345 (1988).
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	C62	Landthaler et al., "Neodymium-YAG Laser Therapy for Vascular Lesions," Journal of the American Academy of Dermatology 14(1): 107-117 (1986).
	C63	Laor et al., "The Pathology of Laser Irradiation of the Skin and Body Wall of the Mouse," Laser Irradiation 47(4): 643-663 (1965).
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	C65	Maiman, T., "Biomedical Lasers Evolve Toward Clinical Applications," Hospital Management: 39-41 (1966).
	C66	Margolls et al., "Visible Action Spectrum for Melanin-Specific Selective Photothermolysis," Lasers in Surgery and Medicine 9: 389-397 (1989).
	C67	Matsumoto, et al., "Ruby Laser Treatment of Melanin Pigmented Skin Lesions Using Toshiba Model LRT-301A Ruby Laser," Journal of Japanese Society for Laser Surgery and Medicine 10(3): 451-454 (1989).
	C68	Meloy, T., "The Laser's Bright Magic," National Geographic: 858-881(1966).
	C69	Mester et al., "Effect of Laser Beam on the Hair Growth of Mice," Experimental Medicine 19: 628-631 (1967).
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INFORMATION DISCLOSURE STATEMENT		APPLICANTS: Anderson et al.
		APPLICATION NO.: 10/698,970
		FILING DATE: October 31, 2003
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EXAM INIT.		OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)
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